



LAP13 Rec'd PCT/PTO 30 OCT 2006

PTO/SB/21 (08-00)

Please place a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/559,650
Filing Date	December 5, 2005
First Named Inventor	Joachim STUMPE et al.
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	31583-226294

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form

<input type="checkbox"/> Fee Attached

<input type="checkbox"/> Preliminary Amendment

<input type="checkbox"/> After Final

<input checked="" type="checkbox"/> German-Language Declaration & Power of Attorney (4 pages)

<input type="checkbox"/> Extension of Time Request

<input type="checkbox"/> Express Abandonment Request

<input type="checkbox"/> Information Disclosure Statement; Form PTO/SB/08A

<input type="checkbox"/> Claim for Priority; Certified Copy of Priority Document

<input type="checkbox"/> Preliminary Amendment

<input checked="" type="checkbox"/> Copy of Response to Notification of Missing Requirements | <input checked="" type="checkbox"/> Assignment & Recordation Sheet (3 pages)

<input type="checkbox"/> Drawing(s)

<input type="checkbox"/> Licensing-related Papers

<input type="checkbox"/> Petition

<input type="checkbox"/> Petition to Convert to a Provisional Application

<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Request for Refund

<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group

<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences

<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

<input type="checkbox"/> Proprietary Information

<input type="checkbox"/> Status Letter

<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
. Supplemental Application Data Sheet
. Certificate of Translation |
|--|--|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert Kinberg Reg. No. 26,924	26694 PATENT AND TRADEMARK OFFICE
Signature	<i>Robert Kinberg</i>	
Date	10/30/06	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date: _____

Typed or printed name			
Signature		Date	

VENABLE
ATTORNEYS AT LAWSEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, 22313-1450.
#782746



PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. As pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known	
FEE TRANSMITTAL For FY 2005		Application Number	10/559,650
		Filing Date	December 5, 2005
		First Named Inventor	Joachim STUMPE et al.
		Examiner Name	To Be Assigned
		Art Unit	To Be Assigned
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	31583-226294
TOTAL AMOUNT OF PAYMENT		(\$)	\$170.00

METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify):
<input checked="" type="checkbox"/> Deposit Accn't Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP				
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)				
<input checked="" type="checkbox"/> Charge fee(s) indicated below		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee		
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments		

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
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Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	
				Fee (\$)	Fee Paid (\$)
- 20 =	x	=			
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)		
- 3 =	x	=			

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/50	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity)

Other (e.g., late filing surcharge):

11/02/2006 LLANDRA 00000066 220261 10559650	Surcharge - Late Filing of Declaration	\$ 130.00
	Assignment Recordation Fee	\$ 40.00
130.00 DA		

SUBMITTED BY			
Signature	<i>Robert Kinberg</i>	Registration No. (Attorney/Agent)	26,924
Name (Print/Type)	Robert Kinberg	Telephone	(202) 344-4000
		Date	10/30/06

#782673



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joachim STUMPE *et al.*

Appln. No. 10/559,650

Confirmation No. 7348

Filed: December 5, 2005

For: METHOD AND DEVICE FOR THREE-
DIMENSIONALLY DETERMINING THE
REFRACTIVE INDEX OF TRANSPARENT
OR PARTIALLY TRANSPARENT
LAYERS

Art Unit : To Be Assigned

Examiner: To Be Assigned

Atty. Docket No. 31583-226294

Customer No.

26694

PATENT TRADEMARK OFFICE

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: MAIL STOP - MISSING REQUIREMENTS

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), dated August 30, 2006, submitted herewith are the following:

1. Transmittal Form;
2. Fee Transmittal;
3. German-Language Declaration and Power of Attorney (4 pages);
4. Assignment and Recordation Form (3 pages total);
5. A Copy of the Notification of Missing Requirements dated August 30, 2006;
8. \$130 Surcharge for late filing of Declaration; and

Applicants: Joachim STUMPE *et al.*
Appln. No. 10/559,650

9. \$40.00 Recordation Fee for the Assignment.

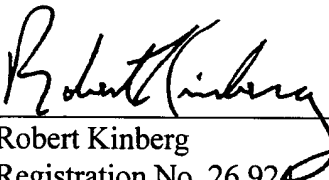
Please charge the fee, totaling \$170.00, and any other required fee, or credit any overpayment, to our Deposit Account No. 22-0261.

Also being filed concurrently are a Certificate of Translation and a Supplemental Application Data Sheet, the latter document of which now reflects the application number.

Respectfully submitted,

Date:

10/30/06


Robert Kinberg

Registration No. 26,924

VENABLE LLP

P.O. Box 34385

Washington, D.C. 20043-9998

Telephone: (202) 344-4000

Telefax : (202) 344-8300

RK/SJB
#782645

RZK



UNITED STATES PATENT AND TRADEMARK OFFICE

OCT 30 2006

 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER 10/554,000	FIRST NAMED APPLICANT Joachim Stumpe	ATTY. DOCKET NO. 31583-226294 RK
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INTERNATIONAL APPLICATION NO. PCT/EP04/05911

I.A. FILING DATE 06/01/2004	PRIORITY DATE 06/05/2003
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 26694
 VENABLE LLP
 P.O. BOX 34385
 WASHINGTON, DC 20045-9998

CONFIRMATION NO. 7348

371 FORMALITIES LETTER



0000000020234292*

Date Mailed: 08/30/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/05/2005
- English Translation of the IA filed on 12/05/2005
- Copy of the International Search Report filed on 12/05/2005
- Preliminary Amendments filed on 12/05/2005
- Information Disclosure Statements filed on 12/05/2005
- U.S. Basic National Fees filed on 12/05/2005
- Priority Documents filed on 12/05/2005
- Non-English Language Application filed on 12/05/2005
- Specification filed on 12/05/2005
- Claims filed on 12/05/2005
- Abstracts filed on 12/05/2005
- Drawings filed on 12/05/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/559,650	PCT/EP04/05911	31583-226294 RK